## **DECLARATION**

#### **INVENTORSHIP IDENTIFICATION**

As a below named inventor, I hereby declare that my residence, mailing address and citizenship are as stated below, next to my name. I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter that is claimed, and for which a patent is sought on the invention entitled:

METHODS AN	SYSTEMS FOR PROCE	SSING AND MANAGING	CORPORATE AC	TION
	TITL	E OF INVENTION		
	SPECIFIC	ATION IDENTIFICATION		
the specification of which	h:			
(a) is atta	iched hereto.			
(b) 🛭 was	iled on <u>July 31, 2003</u>	, as 🛭 Serial No	10/631,243	
☐ a	nd was amended on _ (if a	pplicable).		
(c) was	described and claimed in P	CT International Application	on No filed on	
	nd was amended on	(if applicable).		
ACK	NOWLEDGMENT OF REV	/IEW OF PAPERS AND [	OUTY OF CANDOR	₹
I hereby state	that I have reviewed and u	understand the contents o	f the above-identifie	ed specification,
including the claims a	s amended by any amendr	ment referred to above.		
I acknowledg	e the duty to disclose inforr	mation, which is material to	o patentability as de	efined in 37,
Code of Federal Reg	ulations, § 1.56.			
	(Prior Foreign/Pct Ap	S UNDER 35 U.S.C. § 119 plication(S) Filed Within 1 sign) Prior To This Applic	2 Months	
I hereby clair	n foreign priority benefits (	under Title 35, United Sta	ates Code, § 119(a	)-(d) or 365(b) of
any foreign application	n(s) for patent or inventor's	certificate(s) or 365(a) of	any PCT internation	onal application(s)
which designated at	least one country other th	an the United States of A	America, listed belo	w and have also
identified below any	foreign application(s) for	patent or inventor's cer	tificate(s) or any l	PCT international
application(s) having	a filing date before that of t	he application(s) of which	priority is claimed.	
Application Number	Country or PCT	Date Of Filing	Priority not	Certified Copy
		(Day, M nth, Year)	Claimed	Attached?
				☐ YESNO ☐

#### CLAIM FOR BENEFIT OF PRIOR U.S. PROVISIONAL APPLICATION(S) UNDER 35 U.S.C. § 119(e)

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below:

Provisional Application Number	Filing Date		
60/399,929	July 31, 2002		

#### CLAIM FOR BENEFIT OF EARLIER US/PCT APPLICATION(S) UNDER 35 U.S.C. 120

(All Foreign Application(S), *If Any*, Filed More Than 12 Months (6 Months For Design) Prior To This U.S. Application)

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or 365(c) PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application(s) in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37, Code of Federal Regulations, § 1.56, which became available between the filing date of the prior application(s) and the national or PCT international filing date of this application.

U.S Parent	PCT Parent	Date Of Filing	Parent Patent
Application No.	Application No.	(Day, <b>Month,</b> Year)	No. (If applicable)

#### **DECLARATION**

I hereby declare that my presentation of this paper constitutes a certification under 37 C.F.R § 10.18, which provides, in part, that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and that further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful statements may jeopardize the validity of the application and any patent issuing therefrom.

# SIGNATURE(S)

# Invent r(s)

Kimberly	D	Sear	man
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Inventor's signature	Mari do		
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Inventor's signature			
Date		Country of Citizenship	USA
Residence	Charlotte, NC 28269	,	
Mailing Address	3111 Peregrine Court, Charlotte, N	IC 28269	
Louise			
(GIVEN NAME)		Gla	
(GIVEN NAME)	(MIDDLE INITIAL OR NAME)	FAMILY (OR L	.AST NAME)
Inventor's signature	(MIDDLE INITIAL OR NAME)	FAMILY (OR L	.AST NAME)
,	(MIDDLE INITIAL OR NAME)	FAMILY (OR L	AST NAME)
Inventor's signature	Edmond, OK		AST NAME)

DOCKET NO	D. 020420 PATENT
□ s	Signatur by administrator(trix), or legal representative for deceased or incapacitated inventor.
	Number of pages added
	Signature for inventor who refuses to sign or cannot be reached by person authorized under
	37 CFR 1.47. Number of pages added
	Added page for signature by one joint inventor on behalf of deceased inventor(s) where legal
	representative cannot be appointed in time. (37 CFR 1.47) Number of pages added
	Authorization of attorney(s) to accept and follow instructions from representative.
This	deployation and with this name

# **DECLARATION**

# INVENTORSHIP IDENTIFICATION

a below named inventor, I hereby declare that my residence, mailing address and citizenship are as ted below, next to my name. I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter that is claimed, and for which a patent is sought on the invention entitled:

METHODS AND SYSTEMS FOR PROGRESS	
METHODS AND SYSTEMS FOR PROCESSING AND MANAGING CORPORATE ACTIO	
INFORMATION ON FORATE ACTION	ON

### TITLE OF INVENTION

the sp	ecification	SPECIFICATION IDENTIFICATION  of which:
(a) (b)		is attached hereto.  was filed on _July 31, 2003, as Serial No10/631,243  and was amended on _ (if applicable).  was described and claimed in PCT International Application No filed on
	l ackno	and was amended on (if applicable).  ACKNOWLEDGMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR  y state that I have reviewed and understand the contents of the above-identified specification, aims as amended by any amendment referred to above.  wledge the duty to disclose information, which is material to patentability as defined in 37, I Regulations, § 1.56.

# PRIORITY CLAIMS UNDER 35 U.S.C. § 119(a)-(d)

(Prior Foreign/Pct Application(S) Filed Within 12 Months (6 Months For Design) Prior To This Application)

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate(s) or 365(a) of any PCT international application(s) which designated at least one country other than the United States of America, listed below and have also identified below any foreign application(s) for patent or inventor's certificate(s) or any PCT international application(s) having a filing date before that of the application(s) of which priority is claimed.

Application Number	Country or PCT	D / 00-	Total to didifficu.	
İ		Date Of Filing	Priority not	Certified Copy
		(Day, Month, Year)	Claimed	Attached?
				☐ YESNO ☐

# CLAIM FOR BENEFIT OF PRIOR U.S. PROVISIONAL APPLICATION(S) UNDER 35 U.S.C. § 119(e)

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below:

Pr visi nal Applicati n Number	Filing Date		
60/399,929	July 31, 2002		

# CLAIM FOR BENEFIT OF EARLIER US/PCT APPLICATION(S) UNDER 35 U.S.C. 120

(All Foreign Application(S), If Any, Filed More Than 12 Months (6 Months For Design) Prior To This U.S. Application)

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or 365(c) PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application(s) in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37, Code of Federal Regulations, § 1.56, which became available between the filing date of the prior application(s) and the national or PCT international filing date of this application.

U.S Parent Application No.	PCT Parent Application No.	Date Of Filing (Day, Month, Year)	Parent Patent No. (If applicable)

#### **DECLARATION**

I hereby declare that my presentation of this paper constitutes a certification under 37 C.F.R § 10.18, which provides, in part, that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and that further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful statements may jeopardize the validity of the application and any patent issuing therefrom.

Residence

Mailing Address:

Edmond, OK

### SIGNATURE(S)

		<b>V</b> - <b>V</b>		
Invent r(s)				
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(GIVEN NAME)	(MIDDLE INITIAL OR NAME)	FAM	MLY (OR LAST NAME	)
Inventor's signature	Kens D. Sea			
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Mailing Address:	20 Continental Drive, Phoenixville	e, PA 19460	·	
				<del></del>
A A - C				
Melissa (GIVEN NAME)	140017		Go	
	(MIDDUE INITIAL OR NAME)	FAM	ILY (OR LAST NAME)	
Inventor's signature	- Colinario			
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(GIVEN NAME)	(MIDDLE INITIAL OR NAME)	FAMI	ILY (OR LAST NAME)	
Inventor's signature	Vigin A. K.		(	PLEASE SI
Date	12/4/03	Country of Citizenship	USA	& DA
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Mailing Address	3111 Peregrine Court, Charlotte, N	NC 28269		
•	<del></del>			
Louise (GIVEN NAME)	(MIDDLE INITIAL OF INITIAL		Glass	
(GIVEN NAME)	(MIDDLE INITIAL OR NAME)	FAMI	LY (OR LAST NAME)	<del></del>
Inventor's signature				<b>PLEASE SIGN</b>
Date		Country of Citizenship	USA	& DATE

Country of Citizenship USA

DOCK	EI NO.	020420 PATENT
	Sig	nature by administrator(trix), or legal representative for deceased or incapacitated inventor.
		Number of pages added
		Signature for inventor who refuses to sign or cannot be reached by person authorized under
		37 CFR 1.47. Number of pages added
		Added page for signature by one joint inventor on behalf of deceased inventor(s) where legal
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		Authorization of attorney(s) to accept and follow instructions from representative.
$\boxtimes$	This de	claration ends with this page

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_	METHO	DS AND SYSTEMS FOR PROCESSING AND MANAGING CORPORATE ACTION INFORMATION
		TITLE OF INVENTION
		SPECIFICATION IDENTIFICATION
the sp	ecificatior	of which:
(a)		is attached hereto.
(b)	$\boxtimes$	was filed on <u>July 31, 2003</u> , as Serial No. <u>10/631,243</u>
		and was amended on _ (if applicable).
(c)		was described and claimed in PCT International Application No filed on
		and was amended on (if applicable).
		ACKNOWLEDGMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR
	I hereb	y state that I have reviewed and understand the contents of the above-identified specification,
includi	ng the cl	aims as amended by any amendment referred to above.
	I ackno	owledge the duty to disclose information, which is material to patentability as defined in 37,
Code	of Federa	al Regulations, § 1.56.
		PRIORITY CLAIMS UNDER 35 U.S.C. § 119(a)-(d) (Prior Foreign/Pct Application(S) Filed Within 12 Months (6 Months For Design) Prior To This Application)

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Application Number	Country or PCT	Date Of Filing	Priority not	Certified Copy
		(Day, Month, Year)	Claimed	Attached?
				☐ YESNO ☐

# CLAIM FOR BENEFIT OF PRIOR U.S. PROVISIONAL APPLICATION(S) UNDER 35 U.S.C. § 119(e)

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below:

Provisi nal Application Number	Filing Date
60/399,929	July 31, 2002

# CLAIM FOR BENEFIT OF EARLIER US/PCT APPLICATION(S) UNDER 35 U.S.C. 120

(All Foreign Application(S), *If Any*, Filed More Than 12 Months (6 Months For Design) Prior To This U.S. Application)

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U.S Parent	PCT Parent	Date Of Filing	Parent Patent
Application No.	Application No.	(Day, Month, Year)	No. (If applicable)

#### DECLARATION

I hereby declare that my presentation of this paper constitutes a certification under 37 C.F.R § 10.18, which provides, in part, that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and that further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful statements may jeopardize the validity of the application and any patent issuing therefrom.

FAX ND. : 405 3300706 PNL HUU!SUKS Dec. 22 2003 12:14PM P2

PATENT

## DOCKET NO. 020420

# SIGNATURE(S)

nventor(s)				
Kimberly	D.		Seeman	
(GIVEN NAME)	(MIDDLE INITIAL OR NAME)	FAMI	LY (OR LAST NAME)	P
nventor's signature		C C. C. C. C. C. C. C. C.	1194	<b>—</b> ` ′
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Mailing Address:	20 Continental Drive, Phoenixville.	PA 19460		
			: <b>Ga</b>	· •
(GIVEN NAME)	(MIDDLE INITIAL OR NAME)	FAN	(ILY (GR EAST NAME)	
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Malino Address:	131 Hancock Road, Warmingter.	PA 18974	<u> </u>	
NO STATE			<b>K</b> em	: 4
Virginia (GIVEN NAME)	(MIDDLE INITIAL OR NAME)	FAI	MILY (OR LAST NAME)	H
Inventor's algnature			<u> </u>	<del></del>
Date		_ Country of Citizenship	USA	
Residence	Charlotte, NC 28289			
Malling Address	3111 Peregrine Court, Charlotte.	NC 28269		
			<b>■</b> {	
4 - 1			Glass	: :
(GIVEN NAME)	(MIDDLE INITIAL OF NAME	) FA	WILY (OR LAST NAME)	
inventor's signature	_ noruse (also)	<u>\}</u>		7,5
Date	12/1/03	Country of Citizenshi	p USA	
Residence	Edmond, OK 73034			
Malling Address:	3501, French Park Drive,	Suite A. Edmond, (	OK 73934	·
	X N (A) A)>			

DOCKET NO. 020420			
	Sig	nature by administrator(trix), or legal representative for deceased or incapacitated inventor.	
		Number of pages added	
		Signatur for inventor who refuses to sign or cannot be reached by person authorized under	
		37 CFR 1.47. Number of pages added	
		Added page for <b>signature</b> by one joint inventor on behalf of deceased inventor(s) where legal	
		representative cannot be appointed in time. (37 CFR 1.47) Number of pages added	
		Authorization of attorney(s) to accept and follow instructions from representative.	
$\boxtimes$	This declaration ends with this page.		

#### **PATENT**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Seaman et al. Application No.: 10/631,243

Filed: July 31, 2003

For: METHODS AND SYSTEMS FOR PROCESSING AND MANAGING CORPORATE ACTION INFORMATION

2 9 2003;

Mail Stop: Missing Parts Commissioner for Patents

P.O. Box: 1450

Alexandria, VA 22313-1450

# STATEMENT BY PRACTITIONER THAT APPLICATION FILED IN PTO IS THE ONE INVENTOR EXECUTED BY SIGNING DECLARATION

NOTE: This form is to be used when the declaration only indicates the name(s) of the inventor(s) and the title of the invention. Notice of

September 12, 1983, 1035 O.G. 3. I, Daniel R. Miller. Name of Practitioner Kirkpatrick & Lockhart LLP P.O. Address Henry W. Oliver Building, 535 Smithfield Street, Pittsburgh, Pennsylvania 15222-2312 52,030 Tel. No. (412 ) 355-6773 Reg. No. state I am the registered practitioner for this application and the application identified above is the application that the inventor(s) executed by signing the declaration that is being submitted herewith. Customer No.: 26285 **CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8a)** I hereby certify that this correspondence is, on the date shown below, being: **MAILING FACSIMILE** deposited with the United States Postal transmitted by facsimile to the Service with sufficient postage as first class Patent and Trademark Office. mail in an envelope addressed to the Box: Missing Part, Commissioner for Patents, P.O. Box: 1450, Alexandria, VA 22313-1450. Date: Signature (type or print name of person certifying)

(Statement by Practitioner That Application Filed in PTO is the One Inventor Executed by Signing Declaration